



SADHAV
Sadhav Shipping Ltd.
Since 1992

Date: 06/04/2024

To,
The General Manager,
Listing Department
National Stock Exchange of India Limited,
Exchange Plaza, Bandra Kurla Complex,
Mumbai – 400051.

SYMBOL: SADHAV

SUBJECT: SUBMISSION OF CERTIFICATE AS PER REGULATION 3(5) AND 3(6) OF SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015

Dear Sir/Madam,

With reference to NSE Circular Ref. No: NSE/CML/25 dated March 29, 2023, and in continuation to the circular No. NSE/CML/2022/51, circular No. NSE/CML/2022/52 dated November 04, 2022, Circular No. NSE/CML/2023/09 dated January 25, 2023 and circular Ref No: NSE/CML/ 2023/21 dated March 16, 2023 issued by the Exchange, the listed entities to whom the provisions of Regulation 24A of SEBI(LODR) Regulations, 2015 are not applicable, are required to continue to submit SDD Compliance certificate on quarterly basis in compliance with maintenance of Structural Digital Database ("SDD") as per Regulation 3(5) and 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 ("SEBI PIT Regulations, 2015").

We hereby enclose the Compliance Certificate with respect to Structural Digital Database maintained by the Company as per the aforesaid Regulations, for the quarter ended 31st March, 2024.

Kindly take the same on your record and acknowledge.

Yours faithfully,

For Sadhav Shipping Limited

Madhuri



Ms. Madhuri Shrigopal Rathi
Company Secretary and Compliance Officer
Membership No: A71331

Encl: A/a

Corporate Office

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CIN : U35100MH1996PLC101909

Registered Office

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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31st MARCH, 2024
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading)
Regulations, 2015)

I, Mukesh Saraswat, (Proprietor of M. K. Saraswat and Associates) Practicing Company Secretary, Mumbai, appointed by **Sadhav Shipping Limited** ('the Company'), having a registered office address at 521 5th Floor, Loha Bhavan, P.D'mello Road, Masjid (East), Mumbai City, Maharashtra, India, 400009 and corporate office situated at Unit-618 Laxmi Plaza Bldg-9, New Link Road Near Laxmi Ind Estate, Andheri, Mumbai, Mumbai, Maharashtra, India, 400053. I am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place.
2. control exists as to who can access the SDD.
3. all the UPSI disseminated in the previous quarter have been captured in the Database.
4. the system has captured nature of UPSI along with date and time.
5. the database has been maintained internally and an audit trail is maintained.
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I would like to confirm that company has as on date complied the requirement of setup/installation of SDD software and there is no discrepancy found.

Given on this 04 /04/ 2024
Place: Mumbai

For: M.K. SARASWAT & ASSOCIATES



MUKESH SARASWAT
(Proprietor)
FCS NO.: F9992,
COP NO.: 10856
UDIN: F009992F000028661

